

# Vagus and External Trigeminal Nerve Stimulation (for New Mexico Only)

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[Instructions for Use](#)

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Related Policies
• <a href="#">Bariatric Surgery (for New Mexico Only)</a>
• <a href="#">Deep Brain and Cortical Stimulation (for New Mexico Only)</a>
• <a href="#">Implanted Electrical Stimulator for the Spinal Cord (for New Mexico Only)</a>
• <a href="#">Transcranial Magnetic Stimulation for Treating Physical Health Conditions (for New Mexico Only)</a>

## Application

This Medical Policy only applies to the state of New Mexico.

## Coverage Rationale

**Implantable vagus nerve stimulators are proven and medically necessary for treating epilepsy in individuals with all of the following:**

- Medically refractory epileptic seizures with failure of two or more trials of single or combination antiepileptic drug therapy or intolerable side effects of antiepileptic drug therapy; and
- The individual is not a candidate for epilepsy surgery, has failed epilepsy surgery, or refuses epilepsy surgery after [Shared Decision Making](#) discussion; and
- No history of left or bilateral cervical vagotomy. The U.S. Food and Drug Administration (FDA) identifies a history of left or bilateral cervical vagotomy as a contraindication to vagus nerve stimulation.

**Implantable vagus nerve stimulators are unproven and not medically necessary for treating all other conditions due to insufficient evidence of efficacy.** These conditions include but are not limited to:

- |                            |                             |   |
|----------------------------|-----------------------------|---|
| • Alzheimer’s disease      | • Chronic pain syndrome     | • Narcolepsy                              |
| • Anxiety disorder         | • Cluster headaches         | • Obsessive-compulsive disorder           |
| • Autism spectrum disorder | • Depression                | • Paralysis agitans                       |
| • Autoimmune disorders     | • Fibromyalgia              | • Sleep disorders                         |
| • Back and neck pain       | • Heart failure             | • Tourette’s syndrome                     |
| • Bipolar disorder         | • Migraines                 | • Upper limb impairment related to stroke |
| • Bulimia                  | • Morbid obesity            |   |
| • Cerebral palsy           | • Musculoskeletal disorders |   |

**The following devices are unproven and not medically necessary due to insufficient evidence of efficacy:**

- Transcutaneous (non-implantable vagus nerve stimulation devices) for preventing or treating all indications
- External or transcutaneous (non-implantable) trigeminal nerve stimulation devices for preventing or treating all conditions including but not limited to:

- Attention deficit hyperactivity disorder (ADHD)
- Depression
- Epilepsy
- Headache

**Note:** For vagus nerve blocking for the treatment of obesity, refer to the Medical Policy titled [Bariatric Surgery \(for New Mexico Only\)](#).

## Medical Records Documentation Used for Reviews

Benefit coverage for health services is determined by the federal, state, or contractual requirements, and applicable laws that may require coverage for a specific service. Medical records documentation may be required to assess whether the member meets the clinical criteria for coverage but does not guarantee coverage of the services requested.

The patient's medical record must contain documentation that fully supports the medical necessity for the requested services. This documentation includes, but is not limited to, relevant medical history, physical examination, and results of pertinent diagnostic tests or procedures. Documentation supporting the medical necessity should be legible, maintained in the patient's medical record, and must be made available upon request.

## Definitions

Refer to the federal, state, or contractual definitions that supersede the definitions below.

**Shared Decision Making:** Shared Decision Making is a collaborative process in which a provider/clinician and a patient (including caregivers and family) work together to make healthcare decisions about what is best for the patient. The optimal decision considers evidence-based information about available options, the provider's experience and knowledge, and the values, goals, preferences, and circumstances of the patient. This includes comparing the benefits, harms, and risks of each option and discussing what matters most to the patient (AHRQ, About Shared Decision Making, 2023).

## Applicable Codes

The following list(s) of procedure and/or diagnosis codes is provided for reference purposes only and may not be all inclusive. Listing of a code in this policy does not imply that the service described by the code is a covered or non-covered health service. Benefit coverage for health services is determined by federal, state, or contractual requirements and applicable laws that may require coverage for a specific service. The inclusion of a code does not imply any right to reimbursement or guarantee claim payment. Other Policies and Guidelines may apply.

CPT Code	Description
61885	Insertion or replacement of cranial neurostimulator pulse generator or receiver, direct or inductive coupling; with connection to a single electrode array
61886	Insertion or replacement of cranial neurostimulator pulse generator or receiver, direct or inductive coupling; with connection to 2 or more electrode arrays
64553	Percutaneous implantation of neurostimulator electrode array; cranial nerve
64568	Open implantation of cranial nerve (e.g., vagus nerve) neurostimulator electrode array and pulse generator
64570	Removal of cranial nerve (e.g., vagus nerve) neurostimulator electrode array and pulse generator

*CPT® is a registered trademark of the American Medical Association*

HCPCS Code	Description
A4541	Monthly supplies for use of device coded at E0733
E0733	Transcutaneous electrical nerve stimulator for electrical stimulation of the trigeminal nerve
E0735	Noninvasive vagus nerve stimulator
E0770	Functional electrical stimulator, transcutaneous stimulation of nerve and/or muscle groups, any type, complete system, not otherwise specified
E1399	Durable medical equipment, miscellaneous
L8679	Implantable neurostimulator, pulse generator, any type

HCPCS Code	Description
L8680	Implantable neurostimulator electrode, each
L8682	Implantable neurostimulator radiofrequency receiver
L8683	Radiofrequency transmitter (external) for use with implantable neurostimulator radiofrequency receiver
L8685	Implantable neurostimulator pulse generator, single array, rechargeable, includes extension
L8686	Implantable neurostimulator pulse generator, single array, nonrechargeable, includes extension
L8687	Implantable neurostimulator pulse generator, dual array, rechargeable, includes extension
L8688	Implantable neurostimulator pulse generator, dual array, nonrechargeable, includes extension

## Description of Services

Vagus nerve stimulation (VNS) is a treatment for epilepsy where electrical impulses are delivered to the brain via the vagus nerve. This involves the implantation of a generator device to send electrical impulses to the cervical portion of the vagus nerve via stimulating leads surgically placed around the vagus nerve in the carotid sheath. The vagus nerve in turn sends signals to the brain which stimulate the area of the brain believed to be involved in seizure activity. The mechanism of effect of VNS is currently unclear, but several pathways have been proposed and studied so far, including an increase in the release of neurotransmitters, such as norepinephrine and serotonin, increased cerebral blood flow to the thalamus and cortex, and desynchronization of the alpha rhythms, as observed on EEG. The traditional or open-loop VNS with on-off cycles with an on-demand magnet that allows the individual to interrupt the seizure activity by swiping the magnet over the implantable device. Another model is the closed loop VNS, also known as responsive vagal stimulation, which is involved with automatic vagal stimulation in response to an ictal HR increase that serves as a predictor for an impending seizure (Tzadok et al., 2019).

Transcutaneous vagus nerve stimulation (tVNS) is a non-invasive method that delivers electrical impulses through surface electrodes placed on specific areas, typically targeting the auricular branch of the vagus nerve (ABVN) and the cervical branch located in the neck. An example of this type of device is gammaCore (ElectroCore, LLC) which is a noninvasive handheld prescription device intended to deliver transcutaneous vagus nerve stimulation for the acute treatment of pain associated with episodic cluster headache. It is primarily indicated for conditions such as treatment-resistant depression and epilepsy, paralleling the use of an implantable VNS. Outside these, tVNS is being actively explored for chronic pain, migraine, tinnitus, insomnia, and inflammatory disorders, due to its ability to modulate autonomic and central nervous system activity. It also shows promise in improving cognitive and social functioning, particularly in neuropsychiatric and neurodevelopmental conditions.

External or transcutaneous trigeminal nerve stimulation (TNS) is a non-invasive therapy that delivers signals to the brain via the trigeminal nerve. TNS is commonly delivered by applying stimulating electrodes on the skin of the forehead. The Monarch external Trigeminal Nerve Stimulation (eTNS) System is being developed to treat several conditions including attention deficit hyperactivity disorder (ADHD), epilepsy, and depression. The Cefaly device is being developed to treat headaches by transcutaneously stimulating the supraorbital and/or infraorbital branches of the trigeminal nerve.

## Clinical Evidence

### Epilepsy

#### *Implantable Vagus Nerve Stimulators*

Diniz et al (2024) conducted a systematic review and meta-analysis to examine the current research on the replacement of a traditional VNS with a cardiac based VNS (cbVNS) for individuals with drug-resistant epilepsy with the main focus on seizure reduction. The main outcomes were the number of individuals experiencing a  $\geq 50\%$  and  $\geq 80\%$  reduction in seizures, as defined by the McHugh scale. Additionally, we assessed the number of individuals achieving freedom from seizures. They included 178 individuals with DRE from 7 studies who were initially treated with tVNS and subsequently had it replaced by cbVNS. The follow-up for cbVNS ranged from 6 to 37.5 months. There was a statistically significant reduction in seizure frequency with the replacement of tVNS by cbVNS, using a  $\geq 50\%$  (OR 1.79; 95% CI 1.07 to 2.97;  $I^2 = 0\%$ ;  $p = 0.03$ ) and a  $\geq 80\%$  (OR 2.06; 95% CI 1.17 to 3.62;  $I^2 = 0\%$ ;  $p = 0.01$ ) reduction threshold. Nineteen (13%) participants achieved freedom from seizures after switching to cbVNS. There was no difference in the rate of freedom from seizures between groups (OR 1.85; 95% CI 0.81 to 4.21;  $I^2 = 0\%$ ;  $p = 0.14$ ). The authors concluded that, in individuals with DRE undergoing battery replacement, cbVNS might be associated with seizure reduction ( $\geq 50\%$  and  $\geq 80\%$  threshold) after switching from tVNS. Additional studies are needed comparing these two VNS approaches,

although they did note devices could be associated with  $\geq 50\%$  and  $\geq 80\%$  reduction in seizures. There was no difference in the rate of complete seizure freedom between the two groups. The authors states that individuals undergoing battery replacement should be considered for a cbVNS.

Mao et al. (2021) conducted a systematic review and meta-analysis to compare the short- and long-term efficacies as well as tolerability of vagus nerve stimulation (VNS) for the individuals with drug-resistant epilepsy (DRE) in comparison with status at baseline. A total of 61 studies, including 5223 individuals, were included. The pooled ORs of responder rates, hoarseness/voice change, throat pain, coughing, dyspnea, paresthesia, muscle pain, and headache during the short-term phase were 2.195 ( $p = 0.001$ ), 5.527 ( $p = 0.0001$ ), 0.935 ( $p = 0.883$ ), 1.119 ( $p = 0.655$ ), 2.901 ( $p = 0.005$ ), 1.775 ( $p = 0.061$ ), 3.606 ( $p = 0.123$ ), and 0.928 ( $p = 0.806$ ), respectively. The overall responder rates in 3, 6, 12, 24, 36, 48, and 60 months postoperatively were 0.421, 0.455, 0.401, 0.451, 0.482, 0.502, and 0.508, respectively. The overall incidences of complication were 0.274 for hoarseness/voice change, 0.099 for throat pain, 0.133 for coughing, 0.099 for dyspnea, 0.102 for paresthesia, 0.062 for muscle pain, 0.101 for headache, 0.015 for dysphagia, 0.013 for neck pain, 0.040 for infection, 0.030 for lead fracture, 0.019 for vocal cord palsy, and 0.020 for device malfunction, respectively. Data indicates that VNS is an effective treatment selection for individuals with DRE.

Kawai et al. (2017) reported the overall outcome of a national, prospective registry that included all participants implanted in Japan. The registry included participants of all ages with all seizure types who underwent VNS implantation for drug-resistant epilepsy in the first three years after approval of VNS in 2010. The registry excluded participants who were expected to benefit from resective surgery. Efficacy analysis was assessed based on the change in frequency of all seizure types and the rate of responders. Changes in cognitive, behavioral, and social status, quality of life (QOL), antiepileptic drug (AED) use, and overall AED burden were analyzed as other efficacy indices. A total of 385 participants were initially registered. Efficacy analyses included data from 362 participants. Age range at the time of VNS implantation was 12 months to 72 years; 21.5% of participants were under 12 years of age and 49.7% had prior epilepsy surgery. The follow-up rate was  $> 90\%$ , even at 36 months. Seizure control improved over time with median seizure reduction of 25.0%, 40.9%, 53.3%, 60.0%, and 66.2%, and responder rates of 38.9%, 46.8%, 55.8%, 57.7%, and 58.8% at three, six, 12, 24, and 36 months of VNS therapy, respectively. There were no substantial changes in other indices throughout the three years of the study, except for self/family accessed QOL which improved over time. No new safety issues were identified. The authors concluded that this prospective national registry of participants with drug-resistant epilepsy, with  $> 90\%$  follow-up rate, indicates long-term efficacy of VNS therapy which increased over time, over a period of up to three years.

Englot et al. (2016) examined rates and predictors of seizure freedom with VNS. The investigators examined 5554 individuals from the VNS therapy Outcome Registry and also performed a systematic review of the literature including 2869 individuals across 78 studies. Registry data showed a progressive increase over time in seizure freedom after VNS therapy. Overall, 49% of individuals responded to VNS therapy 0 to 4 months after implantation ( $\geq 50\%$  reduction seizure frequency), with 5.1% of individuals becoming seizure-free, while 63% of individuals were responders at 24 to 48 months, with 8.2% achieving seizure freedom. On multivariate analysis, seizure freedom was predicted by age of epilepsy onset  $> 2$  years, and predominantly generalized seizure type, while overall response to VNS was predicted by non-lesional epilepsy. Systematic literature review results were consistent with the registry analysis: At 0 to 4 months, 40.0% of individuals had responded to VNS, with 2.6% becoming seizure-free, while at last follow-up, 60.1% of individuals were responders, with 8.0% achieving seizure freedom.

In a Cochrane review, Panebianco et al. (2015, Updated 2022) evaluated the current evidence for the efficacy and tolerability of vagus nerve stimulation when used as an adjunctive treatment for people with drug-resistant partial epilepsy. Five randomized controlled trials (439 participants) were included in the review. The authors concluded that VNS for partial seizures appears to be an effective and well tolerated treatment in 439 included participants from five trials. Results of the overall efficacy analysis show that VNS stimulation using the high stimulation paradigm was significantly better than low stimulation in reducing frequency of seizures. Results for the outcome "withdrawal of allocated treatment" suggest that VNS is well tolerated as withdrawals were rare. Adverse effects associated with implantation and stimulation were primarily hoarseness, cough, dyspnea, pain, paresthesia, nausea, and headache, with hoarseness and dyspnea more likely to occur on high stimulation than low stimulation. The evidence for VNS therapy is limited and uncertain due to a small number of studies, few participants, and incomplete reporting of trial methods. As a result, the findings are of moderate to low certainty, meaning future research could significantly alter current conclusions.

## ***Clinical Practice Guidelines***

### **American Academy of Neurology (AAN)**

In a practice parameter update on vagus nerve stimulation for epilepsy, the AAN stated that VNS is indicated for adults and adolescents over 12 years of age with medically intractable partial seizures who are not candidates for potentially curative surgical resections, such as lesionectomies or mesial temporal lobectomies. The degree of improvement in

seizure control from VNS remains comparable to that of new antiepileptic drugs (AEDs) but is lower than that of mesial temporal lobectomy in suitable surgical resection candidates. Because VNS rarely causes complete seizure remission, and is moderately invasive and expensive, use of VNS is more appropriate in individuals unable to tolerate or benefit from antiepileptic drugs (AEDs), and for whom a partial reduction in seizure frequency will significantly improve their quality of life. Sufficient evidence exists to rank VNS for epilepsy as effective and safe, based on a preponderance of Class I evidence (Fisher, 1999).

In an evidence-based guideline update on vagus nerve stimulation for the treatment of epilepsy (Morris et al. 2013), the AAN makes the following recommendations in addition to those reported in the 1999 assessment:

- VNS may be considered as adjunctive treatment for children with partial or generalized epilepsy (level C). VNS was associated with a greater than 50% reduction in seizure frequency in 55% of 470 children with partial or generalized epilepsy (14 class III studies) but there was significant heterogeneity in the data.
- VNS may be considered in individuals with Lennox-Gastaut syndrome (LGS) (level C). VNS was associated with a greater than 50% seizure reduction in 55% of 113 individuals with LGS (4 class III studies).
- VNS may be considered progressively effective in individuals over multiple years of exposure (level C).
- There should be extra vigilance in monitoring for occurrence of site infection in children. There is evidence of an increase in infection risk at the VNS implantation site in children relative to that in adults.

The AAN defines level C as possibly effective, ineffective or harmful (or possibly useful/predictive or not useful/predictive) for the given condition in the specified population. Level C rating requires at least one Class II study or two consistent Class III studies.

## Epilepsy Society

In a vagus nerve stimulation (VNS) therapy factsheet, the Epilepsy Society states that VNS therapy is usually considered for individuals with epilepsy whose seizures have not been adequately controlled despite trying multiple antiseizure medications. It may be an option for those who are not candidates for brain surgery or prefer not to undergo it. VNS is a treatment designed to help manage seizures in people for whom medication alone has proven insufficient. (Epilepsy Society, 2023).

## National Institute for Health and Care Excellence (NICE)

In a 2022 (Updated 2025) clinical guideline, Epilepsies in children, young people and adults, the National Institute for Health, and Care Excellence (NICE) states the following:

- When resective epilepsy surgery is not a viable option for individuals with drug-resistant seizures, consider offering vagus nerve stimulation (VNS) as an adjunctive therapy alongside antiseizure medications.
- Before proceeding with vagus nerve stimulation, engage in a shared decision-making discussion with the person with epilepsy—and their family or caregivers, if appropriate—outlining the potential benefits and risks of the procedure.

## Depression

There is insufficient evidence to support the use of vagus nerve stimulation for depression due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

The 2025 study by Conway et al., investigated the efficacy and safety of vagus nerve stimulation (VNS) as an adjunctive treatment for individuals with severe treatment-resistant depression (TRD). In this large, 12-month, multicenter, double-blind, sham-controlled trial, 493 adults were randomized to receive either active VNS or a sham (no stimulation) treatment. Overall, 88.4% of the participants completed the trial. The primary outcome—percent time in response based on the Montgomery-Åsberg Depression Rating Scale (MADRS)—did not significantly differ between the groups. However, secondary outcomes revealed significant antidepressant benefits favoring active VNS. These included improvements in clinician-rated (CGI-I), patient-rated (QIDS-SR), and masked-rater (QIDS-C) assessments, particularly in partial response rates ( $\geq 30\%$  symptom reduction). The treatment was generally well tolerated, with dyspnea being the only side effect significantly more common in the VNS group. No new safety concerns emerged. While the primary endpoint was not met, the study supports VNS as a safe and potentially effective adjunctive therapy for individuals with marked TRD, especially when considering broader clinical measures. Several key limitations included the following: The extremely high level of treatment resistance among participants likely reduced the chance of observing significant benefits and limits generalizability to less severe cases. Stimulation adjustments were only allowed during the first 2.5 months, which does not reflect typical clinical practice. The sham control may have compromised blinding due to noticeable differences in side effects, though this impact was limited. The primary outcome measure (MADRS) underperformed, possibly due to being conducted via phone by rotating raters without visual cues. Future studies should consider video-based assessments and explore which patient characteristics predict better VNS outcomes and long-term benefits. These limitations emphasize the need for longer-term, independently funded studies to better define VNS's role in TRD treatment.

Bottomley et al. (2019) conducted a systematic review and meta-analysis to provide an update of all studies of adjunctive Vagus nerve stimulation (VNS) in treatment resistant depression (TRD), including recent long-term patient-relevant findings. A recent 5-year comparative study prompted this review of its impact in this very severe population. Previous systematic literature reviews (SLR) cited concerns in terms of missing studies or patient duplication. This review looked at these criticisms, assessed all outcomes of longer-term adjunctive VNS in all studies, irrespective of TRD severity, comparing where feasible with treatment-as-usual (TAU). We searched for adult VNS + TAU studies (January 1, 2000 to June 24, 2019). Comparative and single-arm studies were eligible. All reported efficacy, safety, and quality of life (QOL) outcomes were assessed. Where possible, meta-analysis was used to calculate overall pooled effect estimates across studies at several time points. Of 22 identified studies, there were two randomized controlled (RCT), sixteen single-arm and four non-randomized comparative studies. Numerous depression-specific, safety and quality of life (QOL) measures were reported. Meta-analysis was possible for three efficacy (Montgomery-Asberg Depression Rating Scale, Clinician Global Impression-Improvement, Hamilton Rating Scale for Depression) and three safety (serious adverse events, study drop-outs and all-cause mortality) but no QOL measures. Analyses demonstrated that antidepressant benefits improved to 24 months and safety issues were minimal. Heterogeneity was high and statistically significant. There are study limitations. The major limitation was the unavailability of randomized controlled studies and the fact that the available studies did not report the scope of this review. Despite limitations in the evidence base, the comprehensive summary of VNS + TAU outcomes suggest that this treatment shows improving benefit and hope for this very hard-to-treat chronic population. Future studies are needed that involve data collection of QOL outcomes together with more comprehensive safety and efficacy outcomes, especially for TAU alone, with a view to signal the different treatment combinations.

Aaronson et al. (2017) investigated whether adjunctive vagus nerve stimulation (VNS) with treatment as usual in depression has superior long-term outcomes compared with treatment as usual only. This 5-year, prospective, open-label, nonrandomized, observational Treatment-Resistant Depression Registry study was conducted at 61 U.S. sites and included 795 individuals who were experiencing a major depressive episode (unipolar or bipolar depression) of at least 2 years' duration or had three or more depressive episodes (including the current episode), and who had failed four or more depression treatments (including ECT). Individuals with a history of psychosis or rapid-cycling bipolar disorder were excluded. The primary efficacy measure was response rate, defined as a decrease of  $\geq 50\%$  in baseline Montgomery-Åsberg Depression Rating Scale (MADRS) score at any post-baseline visit during the 5-year study. Secondary efficacy measures included remission. Individuals had chronic moderate to severe depression at baseline. The registry results indicate that the adjunctive VNS group had better clinical outcomes than the treatment-as-usual group, including a significantly higher 5-year cumulative response rate (67.6% compared with 40.9%) and a significantly higher remission rate (cumulative first-time remitters, 43.3% compared with 25.7%). A sub analysis demonstrated that among individuals with a history of response to ECT, those in the adjunctive VNS group had a significantly higher 5-year cumulative response rate than those in the treatment-as-usual group (71.3% compared with 56.9%). A similar significant response differential was observed among ECT non-responders (59.6% compared with 34.1%). According to the authors, this registry represents the longest and largest naturalistic study of efficacy outcomes in treatment-resistant depression, and it provides additional evidence that adjunctive VNS has enhanced antidepressant effects compared with treatment as usual in this severely ill patient population. This study does have limitations. The study was non-randomized and open-label, introducing potential selection and expectation biases. Treatment in the treatment-as-usual group was not standardized, and VNS parameters varied across individuals, reducing consistency. A high dropout rate over the study period may have skewed results, and the exclusion of certain patient populations limits generalizability. Additionally, unmeasured confounding variables could have influenced the findings, making causal interpretations individuals difficult. Further robust studies are needed to confirm these findings.

A Comparative Effectiveness Review was prepared for the Agency for Healthcare Research and Quality (AHRQ) on Nonpharmacologic Interventions for Treatment-Resistant Depression in Adults. The report identified only one study (Rush et al., 2005a) comparing VNS to sham, conducted in a Tier 1 major depressive disorder (MDD)/bipolar mix population. According to the AHRQ report, most measures used by this study found no difference between VNS and sham on changes in depressive severity or rates of response and remission. Since only a single study was identified for this comparison, further assessment by key variables was not possible (Gaynes et al., 2011).

In a 2020 guidance document, the National Institute for Health and Care Excellence (NICE) stated that the current evidence on the safety raises no major safety concerns, but there are frequent well-recognized side effects. Evidence on its efficacy is limited in quality. Therefore, this procedure should be used only with special arrangements for clinical governance, consent and audit or research. It should be used only in individuals with treatment-resistant depression. NICE encourages further research into implanted vagus nerve stimulation for treatment-resistant depression, in the form of randomized controlled trials with a placebo or sham stimulation arm. Studies should report details of patient selection. Outcomes should include validated depression rating scales, patient-reported quality of life, time to onset of effect and duration of effect, and any changes in concurrent treatments. (NICE, 2020).

## **Clinical Practice Guidelines**

### **American Psychiatric Association (APA)**

The 2019 American Psychological Association (APA) clinical practice guidelines providing recommendations for the treatment of depressive disorders, including major depression, subsyndromal depression, and persistent depressive disorder across three age cohorts: children, adolescents, adults, and older adults; The panel examined the efficacy of psychological treatments, pharmacotherapy, and complementary and alternative medicine treatments. While there was a conditional recommendation for use for complementary and alternative treatments in adults, VNS was not listed as a specific modality in the recommended list.

### **Other Conditions**

The use of vagus nerve stimulation has been investigated for other conditions including Alzheimer's disease (Merrill et al., 2006), anxiety (George et al., 2008), autism spectrum disorder (Levy et al., 2010), obsessive-compulsive disorder (Rapinesi et al., 2019), chronic pain [Costa et al. (2024); Napadow et al., 2012], headaches (Pintea et al., 2017; Cecchini et al., 2009), sleep disorders (Jain et al., 2014), heart disease/congestive heart failure (Nearing et al. 2021; De Ferrari et al., 2017; Gold et al. 2016; Zannad et al. 2015; Premchand et al. 2016), asthma (Steyn et al., 2013; Miner et al., 2012), fibromyalgia (Lange et al., 2011), upper limb impairment due to stroke (ECRI, 2021; Dawson et al., 2020, 2021; Wang et al. 2023;), autoimmune and musculoskeletal disorders (Courties et al., 2021) and other psychiatric disorders (Cimpianu et al., 2017). However, because of limited studies, small sample sizes and weak study designs, there is insufficient data to conclude that vagus nerve stimulation is safe and/or effective for treating these indications. Further clinical trials demonstrating the clinical usefulness of vagus nerve stimulation are necessary before it can be considered proven for these conditions.

### **Transcutaneous (Non-Implantable) Vagus Nerve Stimulation**

There is insufficient evidence to support the use of Transcutaneous (Non-Implantable) Vagus Nerve Stimulation due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

### **Cluster Headache**

There is insufficient evidence to support the use of transcutaneous vagus nerve stimulation for Cluster Headaches(CH) due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

A Hayes report (2020, Updated 2023) for the use of gammaCore (electroCore Medical LLC) noninvasive vagus nerve stimulator for the acute treatment or prevention of episodic and chronic cluster headaches (eCH and cCH) indicates that a small, very-low-quality body of evidence does not allow for conclusions to be drawn regarding the safety and efficacy of nVNS with the gammaCore device for prevention or treatment of CH.

Fernández-Hernando et al. (2024) conducted a systematic review to evaluate the effectiveness of non-invasive neuromodulation of the vagus nerve for the management of CH. Out of 1003 articles, there were only nine articles included in the systematic review. The results showed some positive effects using n-VNS as a treatment for cluster headache, particularly regarding cervical neuromodulation of the vagus nerve. nVNS was notable compared to sham therapy for the treatment of eCH but not for the treatment of cCH in one of the studies. Another study offered positive results regarding a reduction in chronic cluster headache attack frequency within two weeks after its addition to SoC, showing significantly higher response rates of  $\geq 25\%$ ,  $\geq 50\%$ , and  $\geq 75\%$  than SoC alone. The third study provided enough details regarding nVNS-treated (eCH,  $n = 38$ ; cCH,  $n = 22$ ) and 73 sham-treated (eCH,  $n = 47$ ; cCH,  $n = 26$ ) subjects. A response was achieved in 26.7% of the nVNS-treated subjects and 15.1% of the sham-treated subjects ( $p = 0.1$ ). The response rate was significantly higher with nVNS than with the sham treatment for the eCH cohort (nVNS, 34.2%; sham, 10.6%;  $p = 0.008$ ), but not for the cCH cohort (nVNS, 13.6%; sham, 23.1%;  $p = 0.48$ ). The sustained response rates were significantly higher with nVNS for the eCH cohort ( $p = 0.008$ ) and for the total sample ( $p = 0.04$ ). Study limitations included low-quality methodologies, high risk of bias and a lack of similar designs amongst the studies. The poor quantity of studies offered and the lack of homogeneity in the study protocols did not allow enough data for a meta-analysis. Despite some positive outcomes, additional research is needed to better evaluate these results. (Gaul 2017, Goadsby 2018 and Silberstein 2016 are included in this study).

De Coo et al. (2020) conducted a meta-analysis on two randomized, double-blind, sham-controlled trials (ACT1, ACT2) that evaluated the differential efficacy, tolerability, and application options non-invasive vagus nerve stimulation (nVNS) as an acute treatment in the two different cluster headache subtypes. Main outcome measures were the primary endpoints of each study. This was the proportion of participants whose first treated attack improved from moderate (2), severe (3), or very severe (4) pain intensity to mild (1) or nil (0) for ACT1 and the proportion of treated attacks whose pain intensity improved from 2-4 to 0 for ACT2. The study population included 225 participants (episodic:  $n = 112$ ; chronic:  $n = 113$ )

from ACT1 (n = 133) and ACT2 (n = 92) in the nVNS (n = 108) and sham (n = 117) groups. Interaction was shown between treatment group and cluster headache subtype ( $p < 0.05$ ). nVNS was superior to sham in episodic but not chronic cluster headache (both endpoints  $p < 0.01$ ). Only four individuals discontinued the studies due to adverse events. Adverse events were mild, and there were no safety concerns during the trial. While nVNS is a well-tolerated and effective acute treatment for episodic cluster headache, studies evaluating long-term outcomes are needed.

Goadsby et al. (2018) compared non-invasive vagus nerve stimulation (nVNS) with a sham device for acute treatment in individuals with episodic or chronic cluster headache (CH) (eCH, cCH). After completing a 1-week run-in period, subjects were randomly assigned (1:1) to receive nVNS or sham therapy during a 2-week double-blind period. The primary efficacy endpoint was the proportion of all treated attacks that achieved pain-free status within 15 minutes after treatment initiation, without rescue treatment. The Full Analysis Set comprised 48 nVNS-treated (14 eCH, 34 cCH) and 44 sham-treated (13 eCH, 31 cCH) subjects. For the primary endpoint, nVNS (14%) and sham (12%) treatments were not significantly different for the total cohort. In the eCH subgroup, nVNS (48%) was superior to sham (6%). No significant differences between nVNS (5%) and sham (13%) were seen in the cCH subgroup. Combining both eCH and cCH individuals, nVNS was no different to sham. The authors concluded that for the treatment of CH attacks, nVNS was superior to sham therapy in eCH but not in cCH. According to the authors, this study had limitations, including its short duration, which did not allow for evaluation of continued/change in response with long-term nVNS therapy. Another study limitation was the imbalance between CH subtypes, with the eCH subgroup comprising  $< 30\%$  of subjects. During the open-label period, subjects could alter their CH treatment regimens by adding prophylactic therapies, or changing doses of existing treatments, or both. According to the authors, this stipulation confounded the results, making it impossible to discern whether changes in efficacy outcomes were attributable to nVNS therapy or to other changes in treatment during this period.

Gaul et al. (2017) evaluated additional patient-centric outcomes, including the time to and level of therapeutic response, in a post hoc analysis of the PREVA study (Gaul et al., 2016). After a 2-week baseline phase, 97 individuals with chronic cluster headache entered a 4-week randomized phase to receive non-invasive vagus nerve stimulation plus standard of care (nVNS + SoC) (n = 48) or SoC alone (n = 49). All 92 individuals who continued into a 4-week extension phase received nVNS + SoC. Compared with SoC alone, nVNS + SoC led to a significantly lower mean weekly attack frequency by week 2 of the randomized phase; the attack frequency remained significantly lower in the nVNS + SoC group through week 3 of the extension phase. Attack frequencies in the nVNS + SoC group were significantly lower at all study time points than they were at baseline. Response rates were significantly greater with nVNS + SoC than with SoC alone when response was defined as attack frequency reductions of  $\geq 25\%$ ,  $\geq 50\%$ , and  $\geq 75\%$  from baseline. The authors concluded that prophylactic nVNS led to rapid, significant, and sustained reductions in chronic cluster headache attack frequency within 2 weeks after its addition to SoC and was associated with significantly higher  $\geq 25\%$ ,  $\geq 50\%$ , and  $\geq 75\%$  response rates than SoC alone. The rapid decrease in weekly attack frequency justifies a 4-week trial period to identify responders to nVNS, with a high degree of confidence, among individuals with chronic cluster headache. Of note, the 100% response rate was 8% with nVNS + SoC and 0% with SoC alone. This study examined the prophylactic use of non-invasive vagus nerve stimulation but did not control placebo effect and lacked data beyond four weeks. Therefore, additional robust studies are needed to confirm these findings.

Gaul et al. (2016) evaluated non-invasive vagus nerve stimulation (nVNS) as an adjunctive prophylactic treatment of chronic cluster headache (CH) in a prospective, open-label, randomized study (PREVA Trial) that compared adjunctive prophylactic nVNS (n = 48) with standard of care (SoC) alone [control (n = 49)]. A two-week baseline phase was followed by a four-week randomized phase (SoC plus nVNS vs control) and a four-week extension phase (SoC plus nVNS). The primary end point was the reduction in the mean number of CH attacks per week. Response rate, abortive medication use, and safety/tolerability were also assessed. During the randomized phase, individuals in the intent-to-treat population treated with SoC plus nVNS (n = 45) had a significantly greater reduction in the number of attacks per week vs controls (n = 48) for a mean therapeutic gain of 3.9 fewer attacks per week. Higher  $\geq 50\%$  response rates were also observed with SoC plus nVNS vs controls. No serious treatment-related adverse events occurred. The authors concluded that adjunctive prophylactic nVNS is a well-tolerated novel treatment for chronic CH, offering clinical benefits beyond those with standard of care. Study limitations include the lack of a placebo or sham device, an open-label study design, the short treatment duration, and the use of patient-reported outcomes. Further robust studies are needed to confirm these results.

Silberstein et al. (2016a) evaluated non-invasive vagus nerve stimulation (nVNS) as an acute cluster headache (CH) treatment. One hundred fifty subjects were enrolled and randomized (1:1) to receive nVNS or sham treatment for  $\leq 1$  month during a double-blind phase; completers could enter a 3-month nVNS open-label phase. The primary end point was response rate, defined as the proportion of subjects who achieved pain relief (pain intensity of 0 or 1) at 15 minutes after treatment initiation for the first CH attack without rescue medication use through 60 minutes. Secondary end points included the sustained response rate (15-60 minutes). Sub-analyses of episodic cluster headache (eCH) and chronic cluster headache (cCH) cohorts were prespecified. The intent-to-treat population comprised 133 subjects: 60 nVNS-treated (eCH, n = 38; cCH, n = 22) and 73 sham-treated (eCH, n = 47; cCH, n = 26). A response was achieved in 26.7%

of nVNS-treated subjects and 15.1% of sham-treated subjects. Response rates were significantly higher with nVNS than with sham for the eCH cohort (nVNS, 34.2%; sham, 10.6%) but not the cCH cohort (nVNS, 13.6%; sham, 23.1%). Sustained response rates were significantly higher with nVNS for the eCH cohort and total population. Adverse device effects (ADEs) were reported by 35/150 (nVNS, 11; sham, 24) subjects in the double-blind phase and 18/128 subjects in the open-label phase. No serious ADEs occurred. The authors indicated that non-invasive vagus nerve stimulation is a safe and well-tolerated treatment that represents a novel and promising option for eCH. According to the authors, study limitations include the analysis of the cCH cohort as part of the primary end point, the need for careful interpretation of sub-analyses results, challenges with blinding inherent in medical device studies, and the time to first measurement of response used to define the primary efficacy end point.

## ***Migraine Headache***

There is insufficient evidence to support the use of the noninvasive vagus nerve stimulation for migraine headaches due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

Song et al. (2023) conducted a systematic review to evaluate the therapeutic effects and clinical application of n-VNS for the acute and preventative treatment of migraine headaches. There were 6 studies included in the study which included 845 individuals with chronic migraine, episodic migraine, or other non-specified subtypes. Meta-analysis shows that non-invasive cervical vagus nerve stimulation (n-cVNS) significantly impacted  $\geq 50\%$  responder rate (OR, 1.64; 95% CI, 1.1 to 2.47;  $p = 0.02$ ), but had no significant effect on reducing migraine days (MD,  $-0.46$ ; 95% CI,  $-1.21$  to  $0.29$ ;  $p = 0.23$ ) and headache days (MD,  $-0.68$ ; 95% CI,  $-1.52$  to  $0.16$ ;  $p = 0.11$ ). In contrast, low-frequency non-invasive auricular vagus nerve stimulation (n-aVNS) was found to significantly reduce the number of migraine days (MD,  $-1.8$ ; 95% CI,  $-3.34$  to  $-0.26$ ;  $p = 0.02$ ) and headache intensity (SMD,  $-0.7$ ; 95% CI,  $-1.23$  to  $-0.17$ ;  $p = 0.009$ ), but not the number of acute medication days per month (MD,  $-1.1$ ; 95% CI,  $-3.84$  to  $1.64$ ;  $p = 0.43$ ). In addition, n-cVNS was found safe and well-tolerated in most individuals. Limitations included a small sample size, which limited the ability to conduct a sensitivity analysis. There was also a limited number of studies using a n-aVNS which was not sham controlled. The author's concluded that while a n-VNS can significantly decrease migraines or number of headache days, n-cVNS for migraine markedly increased  $\geq 50\%$  responder rate, and low-frequency n-aVNS could significantly reduce headache intensity. The findings support the potential of n-VNS to reduce migraines and improve the person's quality of life. This review noted significant heterogeneity in study designs, stimulation parameters (e.g., frequency, intensity, duration), and outcome measures, which complicates direct comparisons and limits the strength of conclusions. Despite these limitations, the findings support nVNS as a safe and potentially effective non-pharmacological option for migraine management, especially for individuals seeking alternatives to medication. Further robust studies are needed to support these findings.

Najib et al. (2022) conducted a prospective randomized, multi-center, double-blind, parallel, sham-controlled study, designed for comparison of two parallel groups, non-invasive nerve stimulation (active treatment) and a sham (inactive) treatment to evaluate the efficacy and safety of non-invasive vagus nerve stimulation for migraine prevention. The study period began with a four-week run-in period, during which there was no investigational treatment. The purpose of the run-in period was to establish a baseline of the subject's headache/migraine history for longitudinal comparison. After completing a 4-week diary run-in period, adults who had migraine with or without aura were randomly assigned to receive active non-invasive vagus nerve stimulation or sham therapy during a 12-week double-blind period. Of 336 enrolled participants, 113 (active,  $n = 56$ ; sham,  $n = 57$ ) completed  $\geq 70$  days of the double-blind period and were  $\geq 66\%$  adherent with treatment, comprising the prespecified modified intention-to-treat population. The COVID-19 pandemic led to early trial termination, and the population was 60% smaller than the statistical target for full power. Mean reduction in monthly migraine days (primary endpoint) was 3.12 for the active group and 2.29 days for the sham group (difference,  $-0.83$ ;  $p = 0.2329$ ). Responder rate (i.e., the percentage of participants with a  $\geq 50\%$  reduction in migraine days) was greater in the active group (44.87%) than the sham group (26.81%;  $p = 0.0481$ ). Prespecified subgroup analysis suggested that participants with aura responded favorably. No serious device-related adverse events were reported. Study limitations included the impact of the Covid pandemic which included : difficulty in blinding of devices., research was suspended at several of the sites, migraine load may be affected making it difficult to identify the effect of the intervention, frequency, severity, and characteristics were also affected by the pandemic as well as a decrease in  $> 20\%$  of participants. The sham device in the Premium I study was found to have some vagal stimulation therefore a different device was used in the Premium II which also produced a strong response. The authors indicate that results suggest the clinical value of non-invasive vagus nerve stimulation for migraine prevention, mainly for individuals who have migraine with aura, and reinforce the well-established safety and tolerability profile of this therapy. The authors indicated that the COVID-19 pandemic negatively impacted their ability to fully enroll PREMIUM II, and their analysis indicates they would have likely reached statistical significance on all of predefined endpoints had the study reached its original enrollment targets. Additional studies are needed to confirm these results that were affected by the pandemic.

A 2021 ECRI clinical evidence assessment for gammaCore Sapphire for treating and preventing migraines indicated that gammaCore is safe and may be effective for achieving pain resolution in some individuals with episodic migraines; the

findings were based on one systematic review with too few events to be conclusive. It cannot be determined if gammaCore provides a benefit over sham treatment for improving partial pain relief, abortive medication use, or migraine prevention because the SR assessed too few individuals. No studies assessed non-pain symptoms (e.g., light sensitivity, nausea), and no studies compared gammaCore with implanted VNS or other treatments, such as trigeminal nerve stimulation or transcranial magnetic stimulation. Additional RCTs are needed to assess gamma Core's effectiveness for treating and preventing chronic and episodic migraines.

Diener et al. (2019) conducted a multicenter trial Introduction evaluating non-invasive vagus nerve stimulation (nVNS; gammaCore®) and the potential to prevent migraine days in participants with migraines based on mechanistic rationale and pilot clinical data. The PREMIUM trial (NCT02378844) included a 4-week run-in period, a 12-week double-blind period of randomized treatment with nVNS or sham, and a 24-week open-label period of nVNS. Participants were to administer two 120-second stimulations bilaterally to the neck three times daily (6-8 hours apart). Of the 477 enrolled participants, 332 comprised the intent-to-treat (ITT) population. Mean reductions in migraine days per month (primary outcome) were 2.26 for nVNS (n = 165; baseline, 7.9 days) and 1.80 for sham (n = 167; baseline, 8.1 days) (p = 0.15). Results were similar across other outcomes. Upon observation of suboptimal adherence rates, post hoc analysis of participants with ≥ 67% adherence per month demonstrated significant differences between nVNS (n = 138) and sham (n = 140) for outcomes including reduction in migraine days (2.27 vs. 1.53; p = 0.043); therapeutic gains were greater in participants with aura than in those without aura. Most nVNS device-related adverse events were mild and transient, with application site discomfort being the most common. Results indicated that preventive nVNS treatment in episodic migraine was not superior to sham stimulation in the ITT population. The "sham" device inadvertently provided a level of active vagus nerve stimulation. Post hoc analysis showed significant effects of nVNS in treatment-adherent participants. Study limitations include vagal activity of the sham device, the use of bilateral stimulations and suboptimal subject adherence to the TID treatment regimen. Future studies are needed that include using an inactive sham device, unilateral stimulation, and participants with a higher headache burden.

Tassorelli et al. (2018) evaluated the efficacy, safety, and tolerability of noninvasive vagus nerve stimulation (nVNS; gammaCore; electroCore, LLC,) for the acute treatment of migraine in a multicenter, double-blind, randomized, sham-controlled trial. A total of 248 participants with episodic migraine with/without aura were randomized to receive nVNS or sham within 20 minutes from pain onset. Participants were to repeat treatment if pain had not improved in 15 minutes. nVNS (n = 120) was superior to sham (n = 123) for pain freedom at 30 minutes (12.7% vs 4.2%) and 60 minutes (21.0% vs 10.0%) but not at 120 minutes (30.4% vs 19.7%) after the first treated attack. A post hoc repeated-measures test provided further insight into the therapeutic benefit of nVNS through 30, 60, and 120 minutes. nVNS demonstrated benefits across other endpoints including pain relief at 120 minutes and was safe and well-tolerated. The authors concluded that this randomized sham-controlled trial supports the abortive efficacy of nVNS as early as 30 minutes and up to 60 minutes after an attack. Findings also suggest effective pain relief, tolerability, and practicality of nVNS for the acute treatment of episodic migraine. According to the authors, the role of nVNS in migraine therapy is being further explored in ongoing large-scale, randomized, sham-controlled trials with long-term follow-up.

Silberstein et al. (2016b) evaluated the feasibility, safety, and tolerability of noninvasive vagus nerve stimulation (nVNS) for the prevention of chronic migraine (CM) attacks. In this prospective, multicenter, double-blind, sham-controlled pilot study of nVNS in CM prophylaxis, adults with CM (≥ 15 headache d/mo) entered the baseline phase (1 month) and were subsequently randomized to nVNS or sham treatment (2 months) before receiving open-label nVNS treatment (6 months). The primary endpoints were safety and tolerability. Efficacy endpoints in the intent-to-treat population included change in the number of headache days per 28 days and acute medication use. Fifty-nine participants (mean age, 39.2 years; mean headache frequency, 21.5 d/mo) were enrolled. During the randomized phase, tolerability was similar for nVNS (n = 30) and sham treatment (n = 29). Most adverse events were mild/moderate and transient. Mean changes in the number of headache days were -1.4 (nVNS) and -0.2 (sham). Twenty-seven participants completed the open-label phase. For the 15 completers initially assigned to nVNS, the mean change from baseline in headache days after 8 months of treatment was -7.9. The authors concluded that therapy with nVNS was well-tolerated with no safety issues. Study limitations included the small sample size, blinding challenges, and high discontinuation rate. According to the authors, larger sham-controlled studies are needed.

In a monocentric, randomized, controlled, double-blind study, Straube et al. (2015) assessed the efficacy and safety of transcutaneous stimulation of the auricular branch of the vagal nerve (t-VNS) in the treatment of chronic migraine. After one month of baseline, chronic migraine individuals were randomized to receive 25 Hz or 1 Hz stimulation of the sensory vagal area at the left ear by a handheld battery driven stimulator for 4 h/day for 3 months. Headache days per 28 days were compared between baseline and the last month of treatment and the number of days with acute medication was recorded. The Headache Impact Test (HIT-6) and the Migraine Disability Assessment (MIDAS) questionnaires were used to assess headache-related disability. Of 46 randomized individuals, 40 finished the study (per protocol). In the per protocol analysis, individuals in the 1 Hz group had a significantly larger reduction in headache days per 28 days than

individuals in the 25 Hz group. 29.4 % of the individuals in the 1 Hz group had a  $\geq 50$  % reduction in headache days vs. 13.3 % in the 25 Hz group. HIT-6 and MIDAS scores were significantly improved in both groups, without group differences. There were no serious treatment-related adverse events. The authors concluded that treatment of chronic migraine by t-VNS at 1 Hz was safe and effective. This study was limited by a small sample size.

The National Institute for Health and Care Excellence (NICE) has published a guideline addressing transcutaneous stimulation of the cervical branch of the vagus nerve for cluster headache and migraine. The guideline states that current evidence on the safety of transcutaneous stimulation of the cervical branch of the vagus nerve for cluster headache and migraine raises no major concerns. The evidence on efficacy is limited in quantity and quality. Therefore, this procedure should only be used with special arrangements for clinical governance, consent, and audit or research (NICE, 2016).

NICE in 2019 published evidence-based guidelines on gammaCore for cluster headache:

- Evidence supports the case for adopting gammaCore to treat cluster headache in the NHS. gammaCore reduces the frequency and intensity of cluster headache attacks and improves quality of life.
- GammaCore is not effective in everyone with cluster headache. Treatment with gammaCore should only continue for people whose symptoms reduce in the first 3 months.

## ***Clinical Practice Guidelines***

### **American Headache Society (AHS)**

The AHS Position Statement On Integrating New Migraine Treatments Into Clinical Practice indicates the FDA has cleared:

- Electrical trigeminal nerve stimulation for the acute and preventive treatment of migraine.
- Noninvasive vagus nerve stimulation for the acute treatment of migraine; Individuals who prefer nondrug therapies and those who have failed to respond to, have contraindications to, or poor tolerability with pharmacotherapy may be candidates for neuromodulation.

Although the efficacy and safety of neuromodulation is supported by positive results from clinical trials, the use of neuromodulatory devices in clinical practice has been limited. Determinations regarding the precise role of neuromodulation in an overall treatment plan must be individualized (Ailani et al. 2021).

The AHS guideline on the treatment of cluster headache does not include specific recommendations for noninvasive vagus nerve stimulation. The guideline notes that future sham-controlled blinded trials are warranted to elucidate the efficacy and safety of nVNS for the treatment of cluster headache (Robbins et al., 2016).

### ***Other Conditions***

Transcutaneous vagus nerve stimulation has been investigated for other conditions including atrial fibrillation (Stavrakis et al., 2015; 2020), epilepsy (Makkawi et al., 2025; Lampros et al., 2021; Barbella et al., 2018; Bauer et al., 2016), depression (Austelle et al., 2025; Tan et al., 2023; Liu et al., 2016; Fang et al., 2016; Rong, et al., 2016), frequent premature ventricular contractions (Liu et al. 2024), heart failure (Sun et al., 2025), impaired glucose tolerance (Huang et al., 2014), pain (Duff et al. 2024), schizophrenia (Osoegawa et al., 2018), tinnitus (Fernández-Hernando et al., 2023; Ylikoski et al., 2017; Kreuzer et al., 2014), sleep quality (Jackowska et al. 2022). Due to limited studies, small sample sizes and weak study designs, there is insufficient data to conclude that transcutaneous vagus nerve stimulation is safe and/or effective for treating these indications. Further clinical trials demonstrating the clinical usefulness of these devices are necessary before it can be considered proven for these conditions.

### **External or Transcutaneous Trigeminal Nerve Stimulation**

There is insufficient evidence to support the use of External or Transcutaneous Trigeminal Nerve Stimulation due to study limitations. Larger studies are needed to establish safety, efficacy, and long-term outcomes.

Jalal et al. (2025) conducted a systematic review that evaluated the effectiveness of trigeminal nerve stimulation (TNS) as a treatment for drug-resistant epilepsy (DRE). The review included seven studies with a total of 148 individuals, all of whom had persistent seizures despite medication. The findings showed that TNS significantly reduced seizure frequency across all studies, although the duration of follow-up varied. The therapy was generally well-tolerated, with the most common side effects being skin irritation (12%) and headache (11%). Importantly, no serious or life-threatening adverse events were reported. Additionally, several studies noted improvements in mood and quality of life, as measured by tools like the Beck Depression Inventory (BDI) and Quality of Life in Epilepsy (QOLIE). The review concluded that TNS is a promising and safe neuromodulatory option for DRE, though larger, comparative studies are needed to better define its role in treatment. This study had several limitations. The small number of eligible studies limited the available data, and

significant differences in study design, patient characteristics, and outcome measures introduced a moderate to high risk of bias. Inconsistent reporting of key variables—such as seizure localization, epilepsy cause, and prior medication use which further hindered the analysis. Variability in follow-up duration and stimulation protocols also made comparisons difficult and prevented meta-analysis. Additionally, all studies experienced patient attrition, and not all used intention-to-treat analysis, raising concerns about potential bias. Despite these limitations, this review provides a valuable overview of TNS in drug-resistant epilepsy and highlights areas for future research.

A 2023 ECRI Clinical Evidence Assessment for external trigeminal nerve stimulation for treating migraine headache indicates that the external trigeminal nerve stimulation (eTNS) reduces pain and improves quality of life compared with sham stimulation or in combination with migraine medication, based on evidence from a systematic review and meta-analysis. Whether these benefits are maintained and which treatment protocols and treatment frequency yield these benefits is uncertain from available evidence. Based on the low-quality evidence, the use of eTNS cannot be determined.

Stanak et al. (2020) performed a systematic review to analyze the effectiveness and safety of eTNS for the prevention and acute treatment of migraine attacks in episodic and chronic migraine individuals. The literature search from four databases that yielded 433 citations and additional seven citations were found via hand-search. Two randomized placebo-controlled trials and five prospective case series were included in the analysis. Results concerning prevention, statistically significant differences were found with respect to reduction of migraine attacks (0.67 less migraine attacks per month), migraine days (1.74 less migraine days per month), headache days (2.28 less headache days per month), and acute antimigraine drug intake (4.24 less instances of acute drug intake per month). Concerning acute treatment, statistically significant differences were found with respect to pain reduction on a visual analogue scale at 1/2/24 h post-acute treatment (1.68/1.02/1.08 improvement, respectively). No serious adverse events happened in any of the studies. E-TNS has the potential to improve migraine symptoms, but the quality of evidence is low. High quality comparative data, studies with larger sample sizes, and studies with standard and relevant primary outcome parameters are needed.

Gil-López et al. (2020) conducted a randomized controlled trial to determine the long-term efficacy and tolerability of external trigeminal nerve stimulation (ETNS) in individuals with focal drug-resistant epilepsy (DRE). Also, to explore whether its efficacy depends on the epileptogenic zone (frontal or temporal), and its impact on mood, cognitive function, quality of life, and trigeminal nerve excitability. Forty consecutive individuals with frontal or temporal DRE, unsuitable for surgery, were randomized to ETNS or usual medical treatment. Participants were evaluated at 3, 6 and 12 months for efficacy, side effects, mood scales, neuropsychological tests, and trigeminal nerve excitability. Subjects had a median of 15 seizures per month and had tried a median of 12.5 antiepileptic drugs. At 12 months, the percentage of responders was 50% in ETNS group and 0% in control group. Seizure frequency in ETNS group decreased by -43.5% from baseline. Temporal epilepsy subgroup responded better than frontal epilepsy subgroup (55.56% vs. 45.45%, respectively). Median stimulation intensity was 6.2 mA. ETNS improved quality of life, but not anxiety or depression. Long-term ETNS affected neither neuropsychological function, but not trigeminal nerve excitability. No serious side effects were observed. According to the authors, ETNS is an effective and well-tolerated therapy for focal DRE. Individuals with temporal epilepsy responded better than those with frontal epilepsy. Future studies with larger populations are needed to define its role compared to other neurostimulation techniques.

In a systematic review of clinical trials, Reuter et al. (2019) assessed the scientific rigor and clinical relevance of the available data to inform clinical decisions about non-invasive neuromodulation. This analysis compared study designs using recommendations of the International Headache Society for pharmacological clinical trials, the only available guidelines for migraine and cluster headache. Pivotal studies were identified for the three non-invasive neuromodulation therapies with regulatory clearance for migraine and/or cluster headache [i.e., non-invasive vagus nerve stimulation (nVNS), single-transcranial magnetic stimulation (sTMS) and external trigeminal nerve stimulation (e-TNS)]. Therapeutic effects on the pain-free response rate at 2 hours were comparable among the three pivotal studies of acute treatment, with significance (vs sham) demonstrated for sTMS (active, 39%; sham, 22%;  $p = 0.0179$ ) but not for nVNS (active, 30.4%; sham, 19.7%;  $p = 0.067$ ) or e-TNS (active, 19%; sham, 8%;  $p = 0.136$ ). Non-invasive vagus nerve stimulation studies demonstrated the most consistent adherence to available guidelines. The scope of this systematic review was limited by the heterogeneity among the clinical trials analyzed and the unavailability of many of the study results, which precluded a formal systematic meta-analysis of all identified studies. This heterogeneity in the pivotal studies of nVNS, e-TNS, and sTMS makes the comparison of these devices and their efficacy outcomes difficult.

McGough et al. (2019) conducted a blinded sham-controlled trial to assess the efficacy and safety of trigeminal nerve stimulation (TNS) for attention-deficit/hyperactivity disorder (ADHD) and potential changes in brain spectral power using resting-state quantitative electroencephalography. Sixty-two children 8 to 12 years old, with full-scale IQ of at least 85 and Schedule for Affective Disorders and Schizophrenia-diagnosed ADHD, were randomized to 4 weeks of nightly treatment with active or sham TNS, followed by 1 week without intervention. Assessments included weekly clinician-administered ADHD Rating Scales (ADHD-RS) and Clinical Global Impression (CGI) scales and quantitative electroencephalography at

baseline and week 4. ADHD-RS total scores showed significant group-by-time interactions. CGI-Improvement scores also favored active treatment. Resting-state quantitative electroencephalography showed increased spectral power in the right frontal and frontal midline frequency bands with active TNS. The study found that only slightly more than half of those receiving therapy had clinically meaningful improvement and a virtual lack of clinically meaningful adverse events. The authors concluded that this study demonstrates TNS efficacy for ADHD in a blinded sham-controlled trial, with estimated treatment effect size similar to non-stimulants. According to the authors, additional research should examine treatment response durability and potential impact on brain development with sustained use.

Chou et al. (2019) assessed the safety and efficacy of external trigeminal nerve stimulation for acute pain relief during migraine attacks with or without aura via a sham-controlled trial. This was a double-blind, randomized, sham-controlled study conducted across three headache centers in the United States. Adult individuals who were experiencing an acute migraine attack with or without aura were recruited on site and randomly assigned 1:1 to receive either verum or sham external trigeminal nerve stimulation treatment for 1 hour. Neurostimulation was applied via the e-TNS Cefaly device. Pain intensity was scored using a visual analogue scale (0 = no pain to 10 = maximum pain). The primary outcome measure was the mean change in pain intensity at 1 hour compared to baseline. A total of 106 individuals were randomized and included in the intention-to-treat analysis (verum: n = 52; sham: n = 54). The primary outcome measure was significantly reduced in the verum group than in the sham group. With regards to migraine subgroups, there was a significant difference in pain reduction between verum and sham for 'migraine without aura' attacks. For 'migraine with aura' attacks, pain reduction was numerically greater for verum versus sham but did not reach significance. No serious adverse events were reported, and five minor adverse events occurred in the verum group. The authors concluded that one-hour treatment with external trigeminal nerve stimulation resulted in significant headache pain relief compared to sham stimulation and was well tolerated, suggesting it may be a safe and effective acute treatment for migraine attacks. According to the authors, study limitations included the following: there was a small sample size and unbalanced baseline characteristics between the verum and sham groups for migraine type, migraine duration, and prior acute medication use. These differences in baseline characteristics were subsequently accounted for in a post hoc ANCOVA analysis, without modifying the significance of the treatment effect defined by the primary outcome.

Generoso et al. (2019) examined the effects of trigeminal nerve stimulation (TNS) in major depressive disorder (MDD) after a 10-day experimental protocol. This was a randomized, double blind, and sham-controlled phase II study with 24 individuals with severe MDD. Individuals underwent a 10-day intervention protocol and were assessed with the 17-item Hamilton Depression Rating Scale (HDRS-17) at following three observation points: baseline (T1), after 10 days (T2), and after one month of the last stimulation session (T3). Main clinical outcome analysis of variance (ANOVA) was performed. Individuals in the active group presented a mean reduction of 36.15% in depressive symptoms after the stimulation protocol. There was a significant interaction between group and time regarding HDRS-17 scores. Post hoc analyses exhibited a statistically significant difference between active and sham group symptoms at T2 and T3, which highlights the sustained amelioration of depressive symptoms. The authors concluded that this study found improvement in depressive symptoms for individuals undergoing a 10-day stimulation protocol of TNS, and this was sustained after one month of follow-up. The authors indicated that the study had several limitations such as a relatively small sample size and no long-term follow-up.

Boon et al. (2018) conducted a systematic review on the currently available neurostimulation modalities primarily with regard to effectiveness and safety for drug-resistant epilepsy (DRE). The authors found that there is insufficient data to support the efficacy of trigeminal nerve stimulation (TNS) for DRE. According to the authors, additional data collection on potentially promising noninvasive neurostimulation modalities such as TNS is warranted to evaluate its therapeutic benefit and long-term safety.

## ***Clinical Practice Guidelines***

### **American Academy of Pediatrics**

The American Academy of Pediatrics [based on the above McGough (2019)] updated their clinical practice [guideline](#) for the diagnosis, evaluation, and treatment of ADHD in children and adolescents. The revised guideline states that external trigeminal nerve stimulation (eTNS) cannot be recommended as a treatment for ADHD because supporting evidence is sparse and in no way does not approach the robust strength of evidence documented for established medication and behavioral treatments for ADHD. (Wolraich et al. 2019)

### **National Institute for Health and Care Excellence (NICE)**

The National Institute for Health and Care Excellence (NICE) published guidance on the use of a transcutaneous electrical stimulation of the supraorbital nerve for treating and preventing migraine in 2022. The guidance indicates that the evidence on the safety of transcutaneous electrical stimulation of the supraorbital nerve for treating and preventing migraine is adequate and raises no major safety concerns. For efficacy, the evidence for treating an acute migraine attack

is adequate but, for treating subsequent attacks, is limited in quality and quantity. So, for treating acute migraine, this procedure should only be used with special arrangements for clinical governance, consent, and audit or research. The evidence for preventing migraine is inadequate in quality. So, for preventing migraine, this procedure should only be used in the context of research.

## U.S. Food and Drug Administration (FDA)

This section is to be used for informational purposes only. FDA approval alone is not a basis for coverage.

### Implantable Vagus Nerve Stimulators

The FDA has approved a number of Implantable Vagus Nerve Stimulator devices. Refer to the following website for more information (use product codes LYJ, MUZ and QPY): <https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMA/pma.cfm>. (Accessed July 21, 2025)

### Transcutaneous (Non-Implantable) Vagus Nerve Stimulation Devices

The FDA has approved a number of devices used for Transcutaneous (Non-Implantable) Vagus Nerve Stimulation. Refer to the following website for more information (use product codes PKR and QAK) <https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfpmn/pmnm.cfm>. (Accessed July 21, 2025)

### External or Transcutaneous Trigeminal Nerve Stimulation

The FDA has approved a number of devices used for External or Transcutaneous Trigeminal Nerve Stimulation. Refer to the following website for more information <https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMN/pmnm.cfm>. (use product codes PCC and QGL). (Accessed July 21, 2025)

To locate marketing clearance information for a specific device or manufacturer, search the Center for Devices and Radiological Health (CDRH) [510\(k\) database](#) or the [Premarket Approval \(PMA\) database](#) by product and/or manufacturer name. (Accessed July 21, 2025)

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## Policy History/Revision Information

Date	Summary of Changes
03/01/2026	<p><b>Related Policies</b></p> <ul style="list-style-type: none"> <li>Updated reference link to reflect the current policy title for <i>Transcranial Magnetic Stimulation for Treating Physical Health Conditions (for New Mexico Only)</i></li> </ul>
12/01/2025	<p><b>Medical Records Documentation Used for Reviews</b></p> <ul style="list-style-type: none"> <li>Added language to indicate: <ul style="list-style-type: none"> <li>Benefit coverage for health services is determined by the federal, state, or contractual requirements, and applicable laws that may require coverage for a specific service</li> <li>Medical records documentation may be required to assess whether the member meets the clinical criteria for coverage but does not guarantee coverage of the service requested</li> <li>The patient's medical record must contain documentation that fully supports the medical necessity for the requested services</li> <li>This documentation includes but is not limited to relevant medical history, physical examination, and results of pertinent diagnostic tests or procedures</li> <li>Documentation supporting the medical necessity should be legible, maintained in the patient's medical record, and must be made available upon request</li> </ul> </li> </ul>

Date	Summary of Changes
	<p><b>Definitions</b></p> <ul style="list-style-type: none"> <li>Updated definition of “Shared Decision Making”</li> </ul> <p><b>Supporting Information</b></p> <ul style="list-style-type: none"> <li>Updated <i>Description of Services</i>, <i>Clinical Evidence</i>, and <i>References</i> sections to reflect the most current information</li> <li>Archived previous policy version CS129NM.B</li> </ul>

## Instructions for Use

This Medical Policy provides assistance in interpreting UnitedHealthcare standard benefit plans. When deciding coverage, the federal, state or contractual requirements for benefit plan coverage must be referenced as the terms of the federal, state or contractual requirements for benefit plan coverage may differ from the standard benefit plan. In the event of a conflict, the federal, state or contractual requirements for benefit plan coverage govern. Before using this policy, please check the federal, state or contractual requirements for benefit plan coverage. UnitedHealthcare reserves the right to modify its Policies and Guidelines as necessary. This Medical Policy is provided for informational purposes. It does not constitute medical advice.

UnitedHealthcare may also use tools developed by third parties, such as the InterQual<sup>®</sup> criteria, to assist us in administering health benefits. The UnitedHealthcare Medical Policies are intended to be used in connection with the independent professional medical judgment of a qualified health care provider and do not constitute the practice of medicine or medical advice.